

Office of Research Integrity and Compliance

Types of Conflicts of Interest

<p>Category I: Involves the appearance of a COI.</p>	<p>Category II: There is a potential for a COI. Administrative approval is required.</p>	<p>Category III: Generally not allowable. An approved management plan is required prior to initiating.</p>	<p>Category IV: Prohibited by North Carolina and/or federal law.</p>
<ul style="list-style-type: none"> • Receiving royalties from book publications • Receiving honoraria/expense reimbursement in connection with professional services • Having equity in a business used solely for your consulting activities, providing an approved EPAP is in place 	<ul style="list-style-type: none"> • Requiring students to purchase your, or an immediate family member’s (spouse, child), textbook • Receiving compensation from any one doing business with ECU • Serving on a board of directors that provides financial support to ECU research • Having equity or ownership in a publicly or non-publicly-traded entity • Working with a related person (spouse, child) • Having a financial interest in a business competing with ECU 	<ul style="list-style-type: none"> • Assigning students to ECU research sponsored by an entity in which you, or an immediate family member, have equity or ownership • Participating in ECU research funded by a grant or contract from an entity in which you, or an immediate family member, have an equity or ownership interest • Participating in ECU research involving technology owned by a company in which you, or an immediate family member, hold equity, ownership, a consulting relationship or an executive position 	<ul style="list-style-type: none"> • Making unauthorized use of privileged information • Associating your name with ECU in such a way as to profit financially • Making referrals of ECU business to an outside agency in which you, or an immediate family member, hold a financial interest • Any activity prohibited by law or ECU policy

References: UNC Policy 300.2.2 & Faculty Manual, Part VIII, Section II

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NOTE: Please visit AIR (Activities, Interests & Relationships) at <https://ecu.myresearchonline.org/air> to submit disclosures