OSP/OGC and UA Proposal Decision Tree

**Proposal Development**
- Proposal Development (Research, Education, Training, Public Service)
  - Review & Approval Department Level
    - Review & Approval Dean’s Office or equivalent (Or if applicable VC or equivalent)

**Sponsorship Type**
- A. Government sponsorship (or flow through)?
  - Yes: Requires review or approval by IRB or IACUC?
    - Yes: Involves individual or institutional conflict of interest or commitment?
      - Yes: Compliance Questions
        - Sponsorship Type A & B: Proposal/Award processed by OSP/OGC. UA may be part of the development and receive statistical credit share.
      - No: Involves conditional obligations (e.g., time and effort, space, employment requirements, scientific reporting including technical or final reports, financial reporting, indirect costs, contingent payments budget or spending restrictions or timetable, audits, or return of unused funds)?
        - Yes: Requires review or approval by Environmental Health and Safety Office (e.g., biosafety, chemical safety, asbestos, radiation safety, immunization)?
          - Yes: Involves intellectual property (e.g., proprietary rights, copyright, confidential information, disposition of tangible or intangible property), indemnification, restrictions or monitoring of publications or research data?
            - No: Compliance Questions
              - Sponsorship Type D: Proposal processed by OA (if government funds involved need OSP/OGC prior concurrence). Award processed by UA unless any compliance question is yes then processed by OSP/OGC. UA may request OSP/OGC management.
            - Yes: Compliance Questions
              - Sponsorship Type C: Proposal processed by OSP/OGC with UA prior concurrence. Award processed by OSP/OGC. UA may receive statistical credit share.
        - No: Compliance Questions
          - Sponsorship Type D: Proposal processed by UA (if government funds involved need OSP/OGC prior concurrence). Award processed by UA unless any compliance question is yes then processed by OSP/OGC. UA may request OSP/OGC management.
    - No: Involves individual or institutional conflict of interest or commitment?
      - Yes: Compliance Questions
        - Sponsorship Type A & B: Proposal/Award processed by OSP/OGC. UA may be part of the development and receive statistical credit share.
      - No: Compliance Questions
        - Sponsorship Type D: Proposal processed by UA (if government funds involved need OSP/OGC prior concurrence). Award processed by UA unless any compliance question is yes then processed by OSP/OGC. UA may request OSP/OGC management.

**Compliance Questions**
- Requires review or approval by IRB or IACUC?
  - Yes: Involves individual or institutional conflict of interest or commitment?
    - Yes: Compliance Questions
      - Sponsorship Type A & B: Proposal/Award processed by OSP/OGC. UA may be part of the development and receive statistical credit share.
    - No: Compliance Questions
      - Sponsorship Type D: Proposal processed by UA (if government funds involved need OSP/OGC prior concurrence). Award processed by UA unless any compliance question is yes then processed by OSP/OGC. UA may request OSP/OGC management.
  - No: Compliance Questions
    - Sponsorship Type D: Proposal processed by UA (if government funds involved need OSP/OGC prior concurrence). Award processed by UA unless any compliance question is yes then processed by OSP/OGC. UA may request OSP/OGC management.

**Legend:**
- OSP = Office of Sponsored Programs
- OGC = Office of Grants and Contracts
- UA = University Advancement or Foundations

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