BOARD OF TRUSTEES COMMITTEE MEETING
DIVISION OF RESEARCH, ECONOMIC DEVELOPMENT AND
COMMUNITY ENGAGEMENT

Friday, May 7, 2004

AGENDA

Approval of Minutes – March 26, 2004

Research and Graduate Studies
I. Update – Research
II. Update – Graduate Studies

Economic Development and Community Engagement
I. Update – Economic Development and Community Engagement
MINUTES
Research and Graduate Studies / Economic Development and Community
Engagement Committee
March 26, 2004
10:58 AM, Brody 2W38-40

Present: Members – Chairman Robert J. Greczyn, Jr., William H. Bodenhamer,
Others – James R. Talton, Jr., William E. Shelton, J. Fielding Miller, Dan V.
Kinlaw, Margaret C. Ward, Robert O. Hill, Jr., Ian B. Baer, E. David Redwine (via
phone), Willie C. Martin (via phone), James LeRoy Smith

Committee Chairman Greczyn called the meeting to order. A motion was duly
made and seconded to approve the October 23, 2003, and December 12, 2003,
committee minutes. The motion carried.

Research
Dr. John Lehman made reference to a prepared handout in the notebook about
the state of grants and contracts at ECU and the State of North Carolina. First
part of the information was excerpted from a Report on Overhead Receipts
(Facilities and Administrative Receipts (F&A)) the UNC Office of the President
provided to the 2003 NC General Assembly Joint Legislative Education Oversight
Committee on February 14, 2003. Other information was compiled by the ECU
Office of Sponsored Programs.

In summary:
• In 2001-2002, sixteen UNC campuses received $867.4 million with about two-
thirds from Federal support. UNC ranked third behind California and Texas.
• Uses of F&A receipts include: maintain and expand research infrastructure;
provide for research support and assistantships; maintain research-related
administrative functions; promote new research activity in a competitive
research environment; fund faculty “start-up” packages; provide general
administrative support; sustain academic programs, including the libraries;
provide for capital improvements and debt service; and support strategic
initiatives.
• 2001-02 F&A receipts was $124 million. ECU received $2.6 million (2.1%)
behind Chapel Hill who received $83.7M (67.7%), NC State $24.3M (19.7%),
Greensboro $3.6M (2.9%), and NCA&T $2.8M (2.2%).
• Two tables showed dollar figures and what each of the institutions had used
their F&A for.
• ECU received $2.7M in overhead receipts in 2002-03, slightly up from the
previous year. 48% of it was used for sponsored programs and post grant
activity; the remainder was used for stimulation of research.
• Distribution of funding by sponsor type showed that 37% of the 2002-03
awards were funded by the Federal government, 28% by State, 21% by
private non-profit, 12% by private for profit, 4% by UNC System, and 3% was locally funded. A breakdown of grants and contracts by division also showed whether they were research, instruction, or public service activity. Another page listed dollar amounts of grants and contracts by division – Academic Affairs, Health Sciences, Administration and Finance, and Research/Economic Development and Community Engagement.

- In 2002-03, ECU received $33.4M. The figures and table detailed the amount of research dollars that came in externally for support of funded research by unit within the divisions.
- Status of proposals and awards for first 6 months of FY 2003-2004 (July-Dec 2003): 210 proposals submitted (10% increase over same period previous year); 140 awards for $15.4M (37% increase over previous year).

Dr. Lehman said we have a sizable process that must be put forward to increase grants and contracts that are going to be brought into ECU by virtue of the size of the institution and the fact that we have a medical school. Meetings with faculty, deans and chairs have been held and will continue in order to identify expectations. There is a consensus to increase external funding. Therefore, we need to provide seed money, laboratories, attractive recruitment and retention packages to retain and to also bring in faculty who will engage in research.

Issues and challenges we now face include climate for research, cost sharing, F&A recovery, graduate student support, Federal compliance requirement, clinical trials administration and infrastructure.

**Graduate Studies**
Dr. Lehman apologized for being late to the meeting and explained that he was at the Murphy Center where the 12th Annual Graduate Student Research Day was being held. The Undergraduate Research Symposium was also holding their research day at Mendenhall Student Center. This was an opportunity for students to present posters as well as platform presentations of their research, with a keynote speaker to highlight the events.

There had been a number of new graduate programs approved. There are several issues looming over graduate studies, one of which we must understand is that research and graduate education go hand in hand. We also have to look at the finances for graduate programs and make sure that we have the resources to be able to provide the research opportunity, faculty, laboratories, and monies, as well as stipends and tuition remissions. Dr Lehman hopes that by this summer he will have some guidelines in place as to how to move forward.

Committee meeting was adjourned at 11:18am in order for the Trustees to attend the groundbreaking for The Learning Village, and was reconvened at 12:42pm.
Economic Development and Community Engagement

Dr. Thompson’s materials were presented in the book. He commented about what he’d been doing in regard to Economic and Community Development the past several months – that is, review what we do, and then try to figure out what steps need to be taken in order to position us to do an even better job in the future.

Presence in Washington, DC – Al Delia will serve as the liaison for ECU with the Federal government as well as be the contact point between ECU and the Office of the President; thus, he will spend the bulk of his time working in Washington in pursuance of identifying projects, seek additional financial support for various university initiatives and programs and assist in securing additional federal appropriations, grants and contracts. Other memos in the book were directives at restructuring economic and community development activities so it will have a coherent approach. Dr. Thompson hopes that by the start of the new fiscal year, we will have several minor organizational issues that have been affecting us out of the way and better positioned for whatever the new Chancellor wishes to do with this particular area and however he wishes to organizationally configure it.

Also in the material was a summary which listed the kinds of activities that Regional Development Services (RDS) had done and what counties have been addressed in the east. In the past five years, RDS units have served over 3,000 different kinds of clients. The Small Business and Technology Development Center (SBTDC) largely serves individuals and was particularly instrumental in helping the region after Hurricane Floyd and the flood. It still oversees a lot of federal loans that were granted as a result of the hurricane to businesses that didn’t qualify for loans from more commercially-oriented lenders.

A copy of the draft proposal for the Institute for Community and Economic Development (ICED) was also included. The ICED grew out of discussions that ECU has had with the Extension Service of NC State, Elizabeth City, Fayetteville, Wilmington and Pembroke. These institutions have agreed to come and work with us on a common institute that will pool our resources and expertise in a mutually beneficial way. Fannie Mae has begun discussions with us to use this as a vehicle for reaching out and extending home ownership loans in the east. They like this idea because it’s a neutral one and the coverage is the whole eastern part of the State. The University Health Systems is also interested and may help us because of the common way that can address the Summit which was held in December and many of the issues that were raised there. The proposal will be sent to the Office of the President to first obtain a Permission to Plan, and then a Permission to Establish. The ICED will be a gateway for the university to reach a large number of people in the east. This will be the first cooperative institute reaching the east in any area.

Dr Thompson handed out a newspaper article that comes from Southern City, which is published by the North Carolina League of Municipalities. This was an
article on our efforts to secure the SouthEast Crescent Authority (SECA). It's good publicity for the kind of efforts which Al Delia and the University have been making in that area.

Dr Thompson briefly mentioned that on Saturday, March 27, a memorial service for Don Spence, who was Director of the Japan Center East, was going to be held. Dr. Spence died unexpectedly in mid January. He was a faculty of the College of Education. He was instrumental in linking public schools and the university with universities and public schools in Japan. He also played an instrumental role in helping John Chaffee and the Pitt County Development Office locate Japanese businesses in Pitt County and other parts of the east. At his memorial service, the Vice Consul for the Japanese Consulate in Atlanta will present a posthumous award to the University on Don’s behalf.

Dr. Thompson also handed out a report which had been shared with Chancellor Shelton and the Executive Council regarding diversity at ECU compared with other institutions. A study was done by The Journal of Blacks in Higher Education which particularly looked at 26 –what they call the highest academically ranking universities in the country along 13 different dimensions. The IPRE staff conducted the study and found that ECU would've ranked about 8th or 9th ahead of Harvard, Stanford and Yale, but not ahead of Chapel Hill.

Chairman Greczyn adjourned the meeting at 12:53 PM.

Respectfully submitted by Margaret Pio
“Sponsored programs” refers to scholarly, professional, and creative activities that University personnel conduct with support from external funding instruments such as grants, contracts, cooperative agreements, or other agreements deemed appropriate by the Board of Governors of the University of North Carolina.

(Regulations on Administering Sponsored Programs, Chapter 500.4[R], University of North Carolina Policy Manual)
**UNC Sponsored Programs**

**Scope**

- FACULTY-DRIVEN ENTERPRISE
  - ALL UNC INSTITUTIONS INVOLVED
- PROPOSALS TO EXTERNAL AGENCIES
  - $3.2 BILLION REQUESTS BY UNC IN FY03
- CONTRACT, GRANT, & AGREEMENT AWARDS
  - $941 MILLION FUNDING TO UNC IN FY03
- FOCUS ON CORE MISSION
  - EMPHASIS ON RESEARCH PROJECTS

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**UNC Sponsored Program Awards:**

FY1993 to FY2003

- FUNDS GREW BY MORE THAN 120% OVER THE DECADE
- CURRENT DOLLARS (MILLIONS)

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The University of North Carolina, Office of the President
**Awards by Purpose**

**FY2003**

- **Total Awards** = $941 Million
- **Internal Awards** = $24 Million

**Sponsorship of Research**

**FY2003**

- **Federal Support** ~ 67%
- **Industrial / Associations Support** ~ 8%
- **State Support** ~ 9%
- **Funding by Source for UNC** is similar to Academic R&D support nationwide
- **Opportunities for federal funding abound in defense and homeland security**
  - **DoD funding to UNC** increased almost 50% in FY 2003
Awards by Sponsor

FY 2003

- Federal Government: 67%
- State and Local Government: 10%
- Industry: 7%
- Foundations: 5%
- Other: 11%

Total Awards = $941 Million
Internal Awards = $24 Million

Federal Awards

FY 2003

Federal Funds to UNC = $634 Million

The University of North Carolina, Office of the President
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## YTD PERCENTAGE CHANGE REPORT

**March 03-04**

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*Effective 1/1/04 - RDS changed to the Chancellor's Division; AgroMedicine changed to the Health Sciences Division.*
MEMORANDUM

TO: William Shelton
    Chancellor

    Robert J. Greczyn
    Chair, Research, Economic Development and Community Engagement

FROM: Robert J. Thompson

DATE: April 26, 2004


There are two activities in the area of Economic Development and Community Engagement on which I wish to report.

1. The request to plan the Institute for Community and Economic Development has been submitted to the Office of the President with the endorsement of the following UNC universities: Elizabeth City State University, Fayetteville State University, North Carolina State University, UNC-Pembroke, UNC-Wilmington and East Carolina University. We anticipate the permission to plan will be granted shortly and we are already preparing the request to establish documents. This inter-institutional proposal represents a new level of cooperation among the UNC campuses for the betterment of eastern North Carolina.

2. The 3rd Annual ECU Venture Forum was held on April 22, 2004. This forum brought approximately 25 potential investors together to hear six different start-up companies present their proposals. The forum was well received by both investors and inventors. The forum was jointly sponsored by RSM McGladrey, Inc., Ward and Smith, P.A. and the SBTDC.