Student-athletes on athletic aid may have their award reduced/canceled during the period of the award (fall – spring) or reduced/nonrenewed at the end of the academic year (after the spring term).

If a student-athlete’s award is reduced/canceled during the period of the award or reduced/nonrenewed for the following academic year, the student-athlete shall have the opportunity of an appeal hearing. Please reference the Financial Aid Appeal Hearing Resource for rules pertaining to the appeal hearing requirements.

- If an exhausted eligibility* student-athlete’s athletic award is nonrenewed at the conclusion of the academic year, an appeal hearing opportunity is not required.
  - *Exhausted eligibility means the student-athlete competed in four seasons in his/her sport or his/her five-year clock has expired.

Can a student-athlete have his/her athletic aid reduced/canceled during the academic year for any reason?

➢ No. If a Head Coach would like to reduce/cancel an athletic scholarship during the period of the award (fall – spring), the compliance office will review the reason(s) specified in the Aid Reduction/Cancelation Form with the Head Coach before notifying the Office of Financial Aid of the reduction/cancellation. This form is available in our Teamworks system [example attached].

- **15.3.4.2 Reduction or Cancellation Permitted**
  Institutional financial aid based in any degree on athletics ability may be reduced or canceled during the period of the award if the recipient:
  a) Renders himself or herself ineligible for intercollegiate competition;
  b) Fraudulently misrepresents any information on an application, letter of intent or financial aid agreement (see Bylaw 15.3.4.2.3);
  c) Engages in serious misconduct warranting substantial disciplinary penalty (see Bylaw 15.3.4.2.4);
  d) Voluntarily (on his or her own initiative) withdraws from a sport at any time for personal reasons; however, the recipient's financial aid may not be awarded to another student-athlete in the academic term in which the aid is reduced or canceled; or
  e) Provides written notification of transfer (see Bylaw 13.1.1.3) to the institution; however, the student-athlete’s financial aid may not be reduced or canceled until the end of the regular academic term in which written notification of transfer is received. If a student-athlete provides written notification of transfer to the institution between regular academic terms (winter break, summer break) the institution may reduce or cancel the financial aid immediately.

- **15.3.4.2.2 Nonathletically Related Conditions**
  An institutional financial aid agreement may include nonathletically related conditions (e.g., compliance with academics policies or standards, compliance with athletics department rules or policies) by which the aid may be reduced or canceled during the period of the award.

- **15.3.4.2.3 Fraudulent Misrepresentation**
  If a student-athlete is awarded institutional financial aid on the basis of declaring intention to participate in a particular sport by signing a letter of intent, application or tender, action on the part of the grantee not to participate (either by not reporting for practice or after making only token appearances as determined by the institution) would constitute fraudulent misrepresentation of information on the grantee's application, letter of intent or financial aid agreement and would permit the institution to cancel or reduce the financial aid.
15.3.4.2.4 Misconduct.
An institution may cancel or reduce the financial aid of a student-athlete who is found to have engaged in misconduct by the university's regular student disciplinary authority, even if the loss-of-aid requirement does not apply to the student body in general.

15.3.4.3 Reduction or Cancellation Not Permitted – DURING THE ACADEMIC YEAR
Institutional financial aid based in any degree on athletics ability may not be reduced or canceled during the period of its award:
(a) On the basis of a student-athlete's athletics ability, performance or contribution to a team's success;
(b) Because of an injury, illness, or physical or mental medical condition (except as permitted pursuant to Bylaw 15.3.4.2); or
(c) For any other athletics reason.

➢ The Office of Financial Aid must provide an appeal opportunity for the student-athlete before reducing/canceling his/her athletic aid during the year.

Can a student-athlete have his/her athletic aid reduced/nonrenewed at the end of the academic year for any reason?
- YES. An ECU Athletics Grant-In-Aid is for one-year. This information is stated within the athletics grant-in-aid agreement and renewal documentation (when renewed). Additionally, this information is available in the Student-Athlete Handbook. An athletics grant-in-aid and renewal document are attached for reference.
  ➢ The Office of Financial Aid must provide an appeal opportunity for the student-athlete before reducing/nonrenewing his/her athletic aid for the following year.

Are student-athletes notified of the transfer portal financial aid implication prior to entering the transfer portal?
- YES. This information is stated in the Grant-In-Aid and Renewal Documentation. The Student-Athlete Transfer Portal Notification form states this information TWICE [attached]. The Office of Compliance goes over this process and the financial implication in the beginning of the year compliance meetings. This information can be found in the Student-Athlete Handbook and all student-athletes now sign an acknowledgment form at the beginning of the year regarding this information [attached].
  - If the student-athlete schedules a meeting with compliance prior to submitting the Transfer Notification Form, the compliance administrator will discuss this information with the student-athlete.
Part I – Head Coach (or Designee)
This form is to be submitted by the Head Coach (or designee) when a student-athlete who is currently on athletic aid and is remaining on the roster; however, the student-athlete will have his/her athletic aid either increased, reduced, or cancelled during the academic year. Final approval will be based on budget, APR, and current individual/team equivalency and counter limits.

Coach Submitting Form: ___________________________ Sport: ___________________________

Student-Athlete Name: ___________________________ Banner ID: ___________________________

This student-athlete's athletic aid will be: INCREASED REDUCED CANCELLED

~If “increased” is selected, will this be a one-time increase for the current academic year? YES NO
A one-time increase is an increase of aid only for the current academic year. The athletic aid will be renewed based on the original award amount.

Current Amount of Athletics Aid ($/%): ___________________________ New Amount ($/%): ___________________________

Please state the reason(s) why this student-athlete was selected to have his/her athletic aid increased / reduced** / cancelled**:

**ECU may only reduce or cancel a student-athlete’s athletics agreement during the period of the award (during the academic year), if the student-athlete:
(a) renders him/herself ineligible for intercollegiate competition;
(b) fraudulently misrepresents any information on an application, letter of intent or financial aid agreement;
(c) engages in serious misconduct warranting substantial disciplinary penalty;
(d) voluntarily (on his or her own initiative) withdraws from his/her sport at any time for personal reasons;
(e) violates a nonathletically related condition outlined in the financial aid agreement or violates a documented institutional rule or policy (e.g., academics policies or standards, athletics department or team rules or policies); or
(f) provides written notification of transfer^ to the appropriate Athletics Department Administrator. If the student-athlete provides written notification of transfer^, the student-athlete’s financial aid may not be reduced or canceled until the end of the regular academic term in which written notification of transfer is received. If a student-athlete provides written notification of transfer to the institution between regular academic terms (winter break, summer break) the institution may reduce or cancel the financial aid immediately.

Any reduction or cancellation of aid during the period of the award may occur only after the student-athlete has been provided an opportunity for a hearing.

Head Coach (or designee) Signature: ___________________________ Date: ________________
Part II: Sport Administrator

The request is: Approved  Denied

Comment:__________________________________________________________

Sport Administrator Signature:_________________________________________ Date:___________

Part III: Compliance

If increased, the new amount is within the individual and team's limit? YES  NO  N/A
If reduced/cancelled, the reasoning listed meets bylaw 15 legislation? YES  NO  N/A

If denied by the Sport Administrator, this request will also be denied by the Compliance Office.

This request is: Approved  Denied

Compliance Notes:

• If this is a *ONE-TIME* increase, a new athletic aid agreement will need to be signed by the student-athlete before the additional athletic aid can be awarded.

• If this is a reduction/cancellation, the student-athlete must be notified by the Office of Financial Aid and be provided an opportunity for a hearing before his/her athletic aid can be reduced/cancelled during the academic year.

• Compliance will update the internal spreadsheet and squad list in CA.

Comment:________________________________________________________________

Compliance Signature:________________________________________ Date:___________
Grant-In-Aid Agreement

East Carolina University has awarded NAME OF STUDENT-ATHLETE an athletics grant-in-aid for the academic year (and terms) listed below. It is important that the student-athlete reads and fully understands the terms and conditions of this award and the National Letter of Intent program.

<table>
<thead>
<tr>
<th>Academic Year</th>
<th>Award Explanation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fall 2020 – Spring 2021</td>
<td>This SPORT’S Athletic Grant-In-Aid is for 45% of a full athletics grant-in-aid (tuition, fees, room, board, books, and up to the maximum value of cost of attendance). The athletic grant-in-aid is non-refundable.</td>
</tr>
</tbody>
</table>

By signing below, the student-athlete named above (“student-athlete”) accepts this athletic grant-in-aid agreement (“agreement”) offered by East Carolina University (“ECU”), and agrees to the following terms and conditions.

This agreement is subject to all rules and regulations set forth by the National Collegiate Athletic Association (“NCAA”) and the American Athletic Conference (“AAC”). Any modification or cancellation of this award must be in compliance with ECU, AAC and NCAA rules, regulations, or legislation.

Per this agreement, ECU agrees to award institutional financial aid based on athletics (“athletics aid”) to the student-athlete for the semester or academic year specified above and in the amount specified above. Under NCAA rules, the amount of athletics aid awarded may consist of tuition, fees, room, board, and books, and other expenses related to attendance at the institution, up to the cost of attendance (“COA”).

The student-athlete may receive and retain this athletics grant-in-aid (i.e., scholarship), provided the student-athlete is:

- Eligible for admission and/or admitted to ECU;
- In good academic standing, as defined by his/her college or school, and maintains all other applicable eligibility requirements (initial and continuing) for athletics participation and financial aid established by the NCAA, AAC, and the undergraduate or graduate unit of ECU in which he/she is enrolled, and;
- He/she complies with the University’s Code of Student Conduct, and the policies and procedures of the ECU Athletics Department and his/her respective team’s rules and/or code of conduct (if applicable).

This agreement is issued only for the time period specified above and does not cover attendance during any summer term. Student-athletes will receive summer athletics aid only upon approval of ECU’s Department of Athletics.

This agreement is issued only for the time period specified above and does not cover athletics aid for a student-athlete with a 5th year of eligibility remaining. Student-athletes may receive athletics aid for a 5th year of eligibility only upon approval of ECU’s Department of Athletics.

The NCAA has established both individual and sports limitations on financial aid. Institutional aid unrelated to athletics (e.g., ECU grant) and outside financial aid (e.g., merit scholarships, high school scholarships, church scholarships, civic organization scholarships) may affect these limitations. The student-athlete must notify ECU’s Office of Student Financial Aid and the Athletics Compliance Office of any outside financial aid that has been awarded to the student-athlete or for which the student-athlete is eligible, as the receipt of such aid by the student-athlete is subject to approval. The student-athlete may voluntarily accept institutional aid unrelated to athletics and relinquish the athletics aid offered in this agreement only if the value of the institutional aid unrelated to athletics is equal to or greater than the value of the athletics aid and only if the student-athlete makes this decision upon his or her own initiative.

Any source of outside financial aid received that affects his/her individual equivalency or team equivalency as outlined within the NCAA regulations will be reviewed by the Office of Student Financial Aid and adjusted to meet NCAA, AAC and/or institutional financial aid regulations after notifying the student-athlete.

The student-athlete understands that in accordance with NCAA regulations, an athletics scholarship shall be awarded neither for a period less than one academic year (unless an NCAA exception applies) nor for a period that would exceed the student's five-year period of eligibility (unless an NCAA exception applies); said scholarship may be renewed at the conclusion of the period of the award. Renewal of athletics aid beyond the period of this agreement is not automatic and shall be at the recommendation of the ECU Department of Athletics.
Grant-In-Aid Agreement

East Carolina University has awarded **NAME OF STUDENT-ATHLETE** an athletics grant-in-aid for the academic year (and terms) listed below. It is important that the student-athlete reads and fully understands the terms and conditions of this award and the National Letter of Intent program.

<table>
<thead>
<tr>
<th>Academic Year</th>
<th>Award Explanation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fall 2020 – Spring 2021</td>
<td>This <strong>SPORT’S</strong> Athletic Grant-In-Aid is for <strong>45%</strong> of a full athletics grant-in-aid (tuition, fees, room, board, books, and up to the maximum value of cost of attendance). *The athletic grant-in-aid is non-refundable.</td>
</tr>
</tbody>
</table>

Terms and Conditions from page 1 continued:
ECU may reduce or cancel my athletics agreement immediately during the period of the award (during the academic year), if the student-athlete (a) renders him/herself ineligible for intercollegiate competition; (b) fraudulently misrepresents any information on an application, letter of intent or financial aid agreement; (c) engages in serious misconduct warranting substantial disciplinary penalty; (d) voluntarily (on his or her own initiative) withdraws from his/her sport at any time for personal reasons; (e) violates a nonathletically related condition outlined in the financial aid agreement or violates a documented institutional rule or policy (e.g., academics policies or standards, athletics department or team rules or policies); or (f) provides written notification of transfer* to the appropriate Athletics Department Administrator.

*If the student-athlete provides written notification of transfer, the student-athlete’s financial aid may not be reduced or canceled until the end of the regular academic term (e.g., fall term) in which written notification of transfer is received. If a student-athlete provides written notification of transfer to the institution between regular academic terms (winter break, summer break) the institution may reduce or cancel the financial aid immediately.

The student-athlete understands that any such reduction or cancellation during the period of the award will be made by the Office of Student Financial Aid only after he/she has been provided an opportunity for a hearing before the Student Academic Appellate Committee.

If the student-athlete has eligibility remaining after the period of the award, he/she must receive written notification of the renewal, reduction or non-renewal of this scholarship on or before July 1st following the termination date. Per NCAA regulations, it is possible for ECU to reduce or not renew the scholarship beyond the period of this agreement for any reason. If this reduction or non-renewal occurs, ECU must provide the student-athlete with written notice of such action and inform the student-athlete in writing that he/she has an opportunity to request a hearing before the Student Academic Appellate Committee.

This agreement and any related National Letter of Intent signed by the student-athlete or on the student-athlete’s behalf constitute the entire agreement between the student-athlete and ECU concerning the award of athletics aid. These documents set forth the sole understanding of ECU and the student-athlete with respect to the amount of athletics aid that will be awarded to the student-athlete by ECU, and all other matters addressed in the documents. These documents also supersede and replace all prior agreements, discussions, communications, representations, and understandings (whether oral or written) between the student-athlete (and the student-athlete’s parent(s), guardian(s), and any other representative(s)) and ECU (and any of its employees, coaches, staff, representatives, and volunteers) concerning the award of athletics aid. These documents and the terms contained in them cannot be modified, amended, altered, supplemented, or otherwise changed, except by a written document signed by an authorized representative of ECU and by the student-athlete (or, if younger than 18, by the student-athlete’s parent or legal guardian).

Office of Student Financial Aid

Aid signature required to be valid.

Student-Athlete Signature

Parent/Legal Guardian Signature

(required if signing an NLI; or if student-athlete is younger than 18 years of age)

Date

Date

Date

8/28/2020 - Page 2 of 2
Subject: Renewal of Athletic Aid

Dear Student-Athlete;

It is a pleasure to inform you that East Carolina University has renewed your athletics award for the 2020-21 academic year.

To view your financial aid award, log onto ECU Pirate Port at: [https://pirateport.ecu.edu/connect](https://pirateport.ecu.edu/connect)
Then follow the steps below:

1. Select the Tools Tab
2. Select the Banner Self-Serve option
3. Select the Financial Aid option
4. Select the Award option
5. Select the Award for Aid Year.

The grant-in-aid is awarded under the rules of the American Athletic Conference (AAC) and the National Collegiate Athletic Association (NCAA). By those rules, an athletics scholarship is awarded for only one academic year unless otherwise indicated on your Athletic Scholarship Agreement. Further, this ECU athletics scholarship does not guarantee summer school athletic aid or fifth year athletic aid.

You should understand that if you withdraw from the university or are suspended from the University, your award will be cancelled and the renewal of your award when you return to the University is not guaranteed. You should also be aware that your athletics scholarship may be immediately reduced or cancelled if you (a) render yourself ineligible for intercollegiate competition; (b) fraudulently misrepresented any information on an application, letter of intent or financial aid agreement; (c) engage in serious misconduct warranting substantial disciplinary penalty; (d) voluntarily (on your own initiative) withdraw from your sport at any time for personal reasons; (e) violate a nonathletically related condition outlined in the financial aid agreement or violate a documented institutional rule or policy (e.g., academics policies or standards, athletic department, or team rules or policies); or (f) provide written notification of transfer* to the appropriate Athletics Department Administrator.

*If the student-athlete provides written notification of transfer, the student-athlete’s financial aid may not be reduced or canceled until the end of the regular academic term in which written notification of transfer is received. If a student-athlete provides written notification of transfer to the institution between regular academic terms (winter break, summer break) the institution may reduce or cancel the financial aid immediately.

NCAA regulations restrict the total amount of financial aid a student-athlete and the sports team may receive. We will keep track of all aid awarded by ECU, but if you receive other aid, you are expected to notify both your coach and the Office of Student Financial Aid so that you can maintain eligibility. If you have questions about financial aid, you may contact me or the Office of Compliance.

If you receive financial aid in an amount that exceeds what you owe to the University for tuition & fees, room & board and/or other miscellaneous expenses, a refund will be issued to you.
If emailing our office, please only use your official ECU email address and include your Banner ID.

Sincerely,
Office of Student Financial Aid
East Carolina University
Mail Stop 510
2103 Old Cafeteria Complex
Greenville, NC 27858
Voice: 252-328-6610
Fax: 252-328-4347
faques@ecu.edu
www.ecu.edu/financial
Office Hours: 8:00am to 5:00pm Monday, Wednesday, Thursday, Friday
9:30am – 5:00pm on Tuesday

Due to Federal Privacy Regulations regarding email and the protection of your personal information, we urge you to send documents to our secure FAX at 252-328-4347 or mail all documents.
For materials that may contain a social security number, please cover or remove all but the last four digits. For materials with the date of birth, please cover or remove the year.

Julie Poorman, Director
Office of Student Financial Aid
Alex Keddie
Senior Associate AD/Compliance
It is recommended that student-athletes meet with their Head Coach before submitting the Transfer Notification Form. The Compliance Office, Head Coach and Sport Administrator will be notified of the form submission. The Compliance Office will add the student-athlete's name to the NCAA Transfer Portal. Once added, the student-athlete will receive an email confirmation.

**As a reminder, once this form is submitted, the student-athlete can have his/her athletic aid cancelled at the end of the current academic term. The student-athlete can also be removed from the roster once the form is completed.**
This form is used by student-athletes to notify East Carolina University Athletics of their intent to transfer to another institution and be added to the NCAA Transfer Portal. Please contact the Compliance Office (AthleticsCompliance@ecu.edu) with any additional questions or concerns about this form or process.

Part I (a): To be completed by the Student-Athlete

Name of Student-Athlete (print): ________________________________  Sport: ________________________________

Personal Email: ________________________________  Cell-Phone: ________________________________

*Release Request Date: ________________________________

Would like to appear on the NCAA Transfer Portal as “student wishes to be contacted by other institutions”: YES/NO

*By signing below, I am notifying East Carolina University Athletics of my intent to transfer. In doing so, I also acknowledge that by signing and submitting this Transfer Notification Form, my athletics financial aid (if applicable) may be reduced or cancelled at the conclusion of this academic term. If this request is submitted in-between terms (e.g., winter break, summer break), my athletics financial aid (if applicable) may be cancelled for the ensuing term. I further acknowledge that I am not guaranteed a roster spot after signing and submitting this Transfer Notification Form.

Student-Athlete Signature: ________________________________  Date: ________________________________

I give my permission to East Carolina University to disclose to other higher education institutions who request information for athletic recruitment purposes and in order to verify my ability to transfer to their institutions (in accordance with NCAA and/or applicable Conference regulations) my protected academic/educational record information, including but not limited to: terms of attendance, academic standing, academic eligibility status, participation, medical information, disciplinary/conduct information: YES/NO

If I circled “YES” above, this consent shall remain in effect for one year from the date of my signature; however, I understand that I may revoke this consent in writing at any time.

Student-Athlete Signature: ________________________________  Date: ________________________________

Part I (b): To be completed by the Compliance Office

Student-Athlete has been activated on the NCAA Transfer Database on: ________________________________

Compliance Signature: ________________________________  Date: ________________________________

*Activation must take place within 2 business days from the date of the student-athlete’s written request for release, noted above.
Part II: To be completed by the Head Coach (or designee)

Do you approve/deny the use of the "one-time transfer exception" for the student-athlete listed above?

- Approve the One-Time Transfer Exception
- Deny the One-Time Transfer Exception
- Not Applicable

If denied is recommended, please explain the rationale:

________________________________________________________________________________________

________________________________________________________________________________________

________________________________________________________________________________________

Head Coach/Designee Signature:_____________________________ Date:___________________________

**Please also complete the Change of Roster Status Form, if applicable, for reductions/cancellations of athletics aid and/or dismissal from the team.

Part III: To be completed by the Sport Administrator

Do you approve/deny the use of the "one-time transfer exception" for the student-athlete listed above?

- Approve the One-Time Transfer Exception
- Deny the One-Time Transfer Exception
- Not Applicable

Sport Administrator Signature:_____________________________ Date:___________________________

For more information regarding the NCAA Transfer Notification legislation and process, please review the resource documents in the Teamworks Compliance Files or contact the ECU Athletics Compliance Office at AthleticsCompliance@ecu.edu.
This form is to simply educate our student-athletes about the NCAA Transfer Portal and our process at ECU. By signing this form, you are not entering the Transfer Portal.

An athletics staff member or other representative of the institution’s athletics interests shall not make contact with the student-athlete of another NCAA Division I institution, directly or indirectly, without first obtaining authorization through the notification of transfer process.

In accordance with the new ECU transfer policy, written notification of intent to transfer will NOT be considered to have occurred unless such notification is sent directly to the Compliance Office using the **Transfer Portal Request Form** which is available via your Teamworks account under forms > perpetual forms. All other forms of communication will not be considered as written notification of intent to transfer.

Upon receipt of written notification, ECU must enter the student-athlete’s information into the NCAA Transfer Portal within two business days of receipt. Once entered into the database by the Compliance Office, the student-athlete is free to engage in recruiting conversations with any institution they choose. While ECU does not have the ability to restrict the institutions with which a student-athlete may have contact, ECU still maintains the legislative authority to grant or deny an exception to the transfer-residence requirement (immediate eligibility). In addition, American Athletic Conference (AAC) Intraconference Transfer Rules continue to apply.

A student-athlete’s notification of transfer expires at the time in which he or she withdraws the notification or begins classes at his or her original institution or another institution during the subsequent academic year. In accordance with ECU transfer policy, withdrawal of written notification of intent to transfer will NOT be considered to have occurred unless such notification is sent directly to the Compliance Office via email to AthleticsCompliance@ecu.edu. All other forms of written communication will not be considered as withdrawal of written notification of intent to transfer.

In conjunction with the implementation of the written notification of transfer process, the NCAA also amended NCAA Bylaw 15.3.5.1 Reduction, Cancellation or Nonrenewal Permitted. Specifically, ECU Athletics is permitted to **REDUCE** or **CANCEL** athletics aid at the end of the regular academic term [end of fall or spring term] if a student-athlete submits the Transfer Portal Request Form in Teamworks. For example, if a student-athlete submits the Transfer Portal Request Form in October, it is permissible to cancel his/her athletic aid at the conclusion of the fall term.

If a student-athlete provides written notification of transfer to ECU between regular academic terms (winter break or summer break) ECU may **REDUCE** or **CANCEL** his/her athletics aid IMMEDIATELY.

Additionally, your Head Coach is NOT required to keep you on his/her roster if you submit the Transfer Portal Request Form at any time during the academic year or summer. The Head Coach may remove you from the roster immediately if he/she chooses to do so.

The Compliance staff is always available to answer any questions about NCAA rules and the transfer process in general. Our office strongly encourages ECU student-athletes to discuss his/her desire to transfer (and the potential consequences of providing written notification of transfer) with his/her parents/legal guardians/family members and Head Coach or Sport Administrator prior to taking any formal action.

Compliance – July 2020
OUC – July 2020
NCAA Bylaws Referenced: 13.1.1.3 - Four-Year College Prospective Student-Athletes and 15.3.5.1- Reduction, Cancellation or Nonrenewal Permitted.

By signing below, you affirm that you understand the transfer portal process and policy at ECU. Also, you acknowledge that you understand the athletic aid (if applicable) and roster status implications that may result if you submit the Transfer Portal Request Form in Teamworks.

By signing below, I indicate my understanding of the information shown and provided on this form, and it is my intent to sign the record.

State Law Requirement
State law requires that you be informed of the following: (1) your consent is limited to this one transaction; (2) you are entitled to a paper copy of the documents you have signed; and (3) you are entitled to withdraw your consent to future electronic signatures.

__________________________________________  ______________________________________
Signature                                           Date